



**Nigel Gibbens**

Former Chief Veterinary Officer UK 2008-18, Vet Public Health consultant

## Compartment approval and recognition: national and international

Regional workshop on ASF compartmentalisation for Asia and the Pacific  
27&28 April 2021

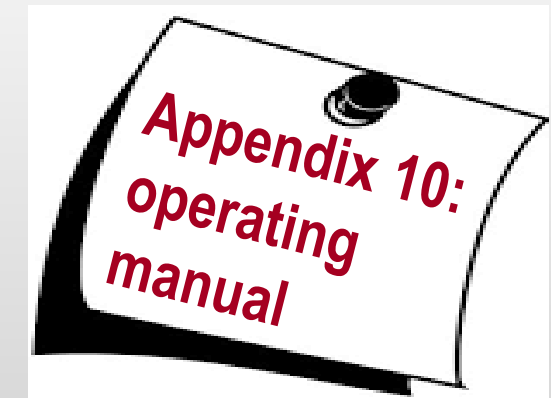
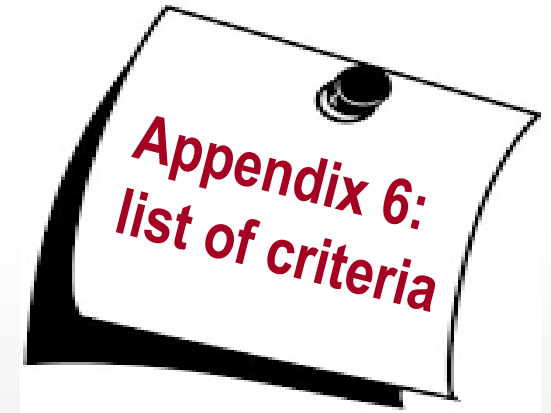


# Roles and responsibilities

- VA responsible for essential national infrastructure to enable effective implementation of compartments, including national ASF compartmentalisation programme
- Approval and oversight of compartment under responsibility of Veterinary Authority
- Private sector responsible for setting up and maintaining compartment
- Third parties may be involved to ensure smooth functioning of compartment

# Formal approval of a compartment

- Compliance with
  - national requirements for the national ASF compartmentalisation programme
  - the biosecurity and management requirements
- Detailed in the ASF free compartment operating manual
- must be verified by appropriate audit.
- criteria would depend on the ASF status of the country or zone where the compartment is located
- assess all the components of the compartment.



# Audit under the Veterinary Authority's responsibility

- The Veterinary Authority should assign accredited auditors:
    - either from within the Veterinary Authority
    - or from other independent third parties.
- Vet Authority must have an appropriate mechanism to oversee the auditing process

# Accreditation of auditors

- Have successfully completed a recognised compartment auditor training course, with re-assurance of auditing capability on a regular basis.
  - Incl mock audits and document reviews.
  - Official audit tools, such as checklists, may also be provided
- Be registered and officially certified by the Veterinary Authority as an accredited auditor(s).
- Must not have any conflicts of interest with any of the parties relating to relevant compartments or applying for compartment certification.

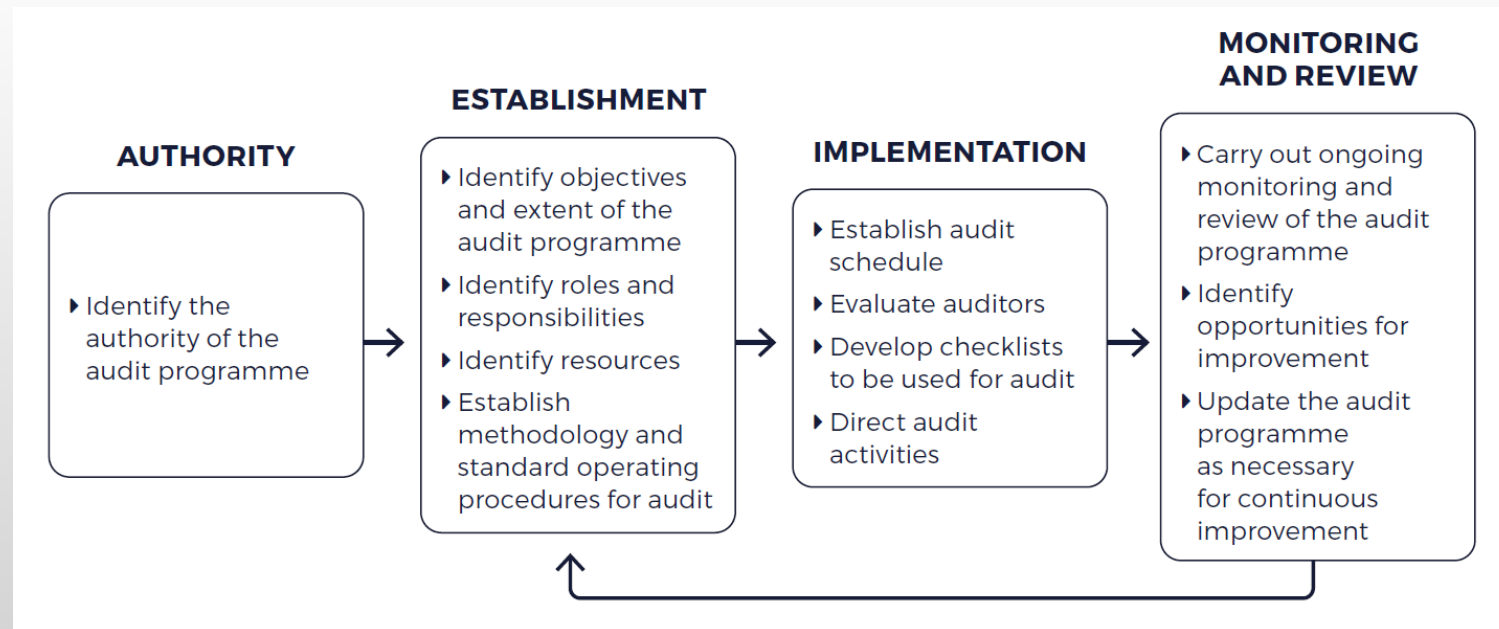
# Audit steps by accredited auditors

- Review of submitted application documents
- Conduct an initial, on-site audit of the candidate compartment
- For initial registration, all components will be subject to the audit:
  - evaluation of the critical control points and standard operating procedures applied within the compartment;
  - verification of the health status of the animal sub-population within the compartment; review of the operational risk assessment;
  - and examination of the biosecurity management and surveillance system for the integrated components of the compartment
- Likely to need an iterative process of engagement to achieve full compliance and enable approval by the VA.

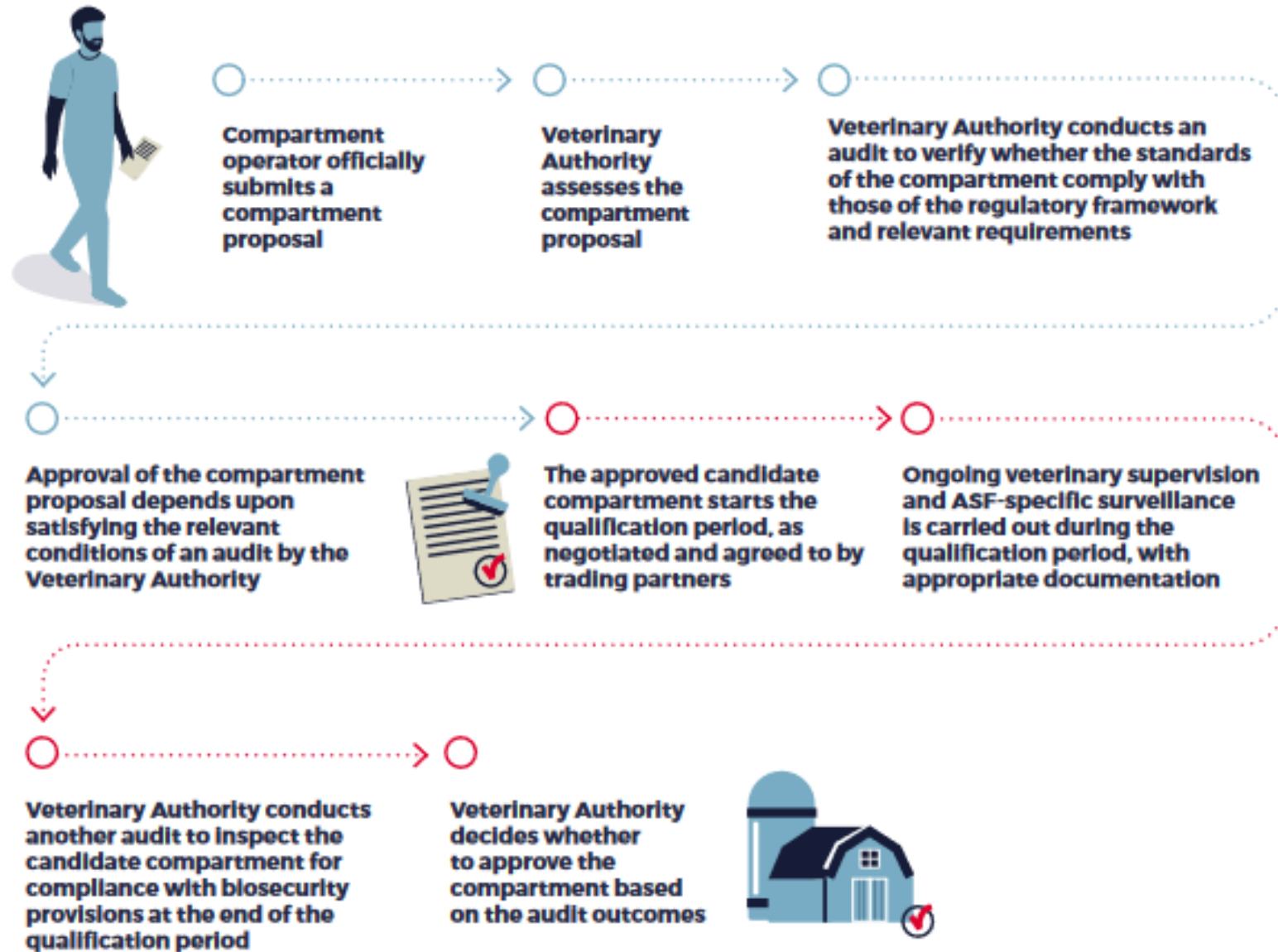


# Auditing: not be a 'one-off' activity

Once approved, a compartment must have regular internal and external audits for continuous assurance.



# Specific steps for the approval of an ASF-free compartment in a non-ASF-free country or zone





# Publication of an approved compartment

- Appropriate information relating to the approved ASF-free compartment should be
  - publicised by the Veterinary Authority
  - made readily available to trading partners and other relevant stakeholders.
- This could be done via publicly accessible channels (on official website, in the official journal, on noticeboards or in publications of the Veterinary Authority)
- OIE procedure for the publications of self-declaration



# Compartment recognition between trading partners

## Negotiations

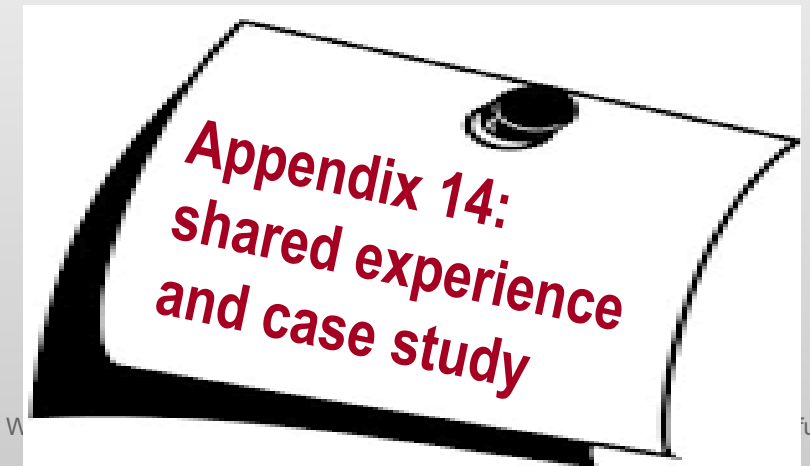
- Negotiation between the Veterinary Authorities of the exporting and importing countries → bilateral agreement
- To achieve a bilateral agreement, the specified ASF-free compartment(s) should be
  - officially approved by the Veterinary Authority of the exporting country,
  - fulfil, or otherwise negotiate on, the sanitary import regulations set by the Veterinary Authority in the importing country
- Negotiation may also be conducted on the national ASF compartmentalisation programme as a whole

# Compartment recognition between trading partners

## Steps and success factors

- Exporting country
  - Submit the relevant documents /information to the Veterinary Authority of the importing country
- Importing country
  - Initial assessment
  - Additional information can be requested
  - Site visits can be conducted (in collaboration with the Veterinary Authority of the exporting country)

Transparency  
Science-based assessment  
Mutual trust



# Thank you for your attention

Nigel Gibbens

ltinerant.vets@gmail.com



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*Protecting animals, preserving our future*

12, rue de Prony, 75017 Paris, France  
[www.oie.int](http://www.oie.int)  
media@oie.int - oie@oie.int

